



**GUAM BAR ASSOCIATION**

Guam Judicial Center, Second Floor • 120 West O'Brien Drive • Hagatna, Guam 96910-5174  
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**2010 REGISTRATION STATEMENT & CLE CERTIFICATION FORM (FOR 2010)**

The information requested in SECTIONS 1, 2, 3 & 7 is REQUIRED and thus those questions MUST BE ANSWERED. Please note that Rule 5 of the Supreme Court of Guam's Rules for the Discipline of Attorneys requires that if ANY CHANGE OCCURS IN THE INFORMATION PREVIOUSLY SUBMITTED TO THE BAR an updated registration statement MUST be submitted within thirty (30) days of such change. (The Name Change, Status Change or Address Change forms found in the Bar Directory and on the Bar website may be used to submit such changes to the Bar at the above address.) Failure to abide by this requirement can result in a Supreme Court Order of Summary Suspension barring the attorney from practicing law in Guam.

**Notice Regarding Reciprocity:** Notice is hereby provided that Rule 16(f) of the Supreme Court of Guam's Rules for the Discipline of Attorneys requires all attorneys licensed to practice law on Guam to report ethics complaints filed against them in other jurisdictions. Please review the relevant Rule for more information. For purposes of this requirement the GBA Ethics Committee has adopted an interpretation of "ethics complaint" in another jurisdiction to mean the equivalent of the filing of formal Specification of Charges under the Rules of Procedure for Disciplinary Proceedings of the GBA Ethics Committee (see Rule 20 therein).

**IMPORTANT NOTICE: ALL MEMBERS ARE REQUIRED TO FILL OUT SECTIONS 1, 2, 3 & 7 REGARDLESS OF WHAT INFORMATION MAY ALREADY BE ON RECORD WITH THE BAR. FAILURE TO FILL OUT THESE SECTIONS COMPLETELY MAY RESULT IN REJECTION OF THE REGISTRATION STATEMENT AND CLE CERTIFICATION FORM IN ITS ENTIRETY.**

**1. ATTORNEY INFORMATION (Mandatory):**

FULL NAME [print clearly] \_\_\_\_\_  
SEX: F \_\_\_ M \_\_\_ DATE OF BIRTH \_\_\_\_\_ PLACE OF BIRTH \_\_\_\_\_  
PHYSICAL WORK ADDRESS \_\_\_\_\_  
WORK PHONE NO. \_\_\_\_\_ WORK FAX NO. \_\_\_\_\_  
MAILING ADDRESS \_\_\_\_\_  
E-MAIL ADDRESS \_\_\_\_\_  
DATE OF ADMISSION TO GUAM BAR: \_\_\_\_\_  
CLASS OF MEMBERSHIP FOR 2010: ACTIVE \_\_\_\_\_; TEMPORARY ACTIVE \_\_\_\_\_; INACTIVE \_\_\_\_\_  
IN TEMPORARY ACTIVE ADMITTEE, DATE OF TEMPORARY ADMISSION: \_\_\_\_\_

**2. LICENSURE IN OTHER JURISDICTIONS (Mandatory – ADD SHEETS IF NECESSARY):**

If you are licensed/ admitted to practice in any other state, territory or jurisdiction, please identify each.  
JURISDICTION: \_\_\_\_\_ DATE ADMITTED TO PRACTICE: \_\_\_\_\_  
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**3. EMPLOYMENT STATUS (Mandatory -- check one):** Please check the category that is most appropriate for your position as of January 1, 2010. If you are unsure as to what constitutes the practice of law, please research the matter or engage an attorney to provide you with an opinion.

- Judge** (Inactive dues required): I am a full-time local or federal judge of record in Guam.
- Government Attorney/Active** (Active dues required): I am an attorney employed full-time by an agency of the federal or local government, other than a judge, and I do not engage in any private practice of law, compensated or uncompensated, other than pro bono service. I certify that Rule 6 of the Supreme Court Rules for Discipline does not apply to me because my entire compensation from the practice of law during the calendar year next preceding the date of this Certificate was received in my capacity as an employee of an

agency of the federal or local government. As such I have no funds, securities or other properties of a client or third person in my possession.

- Private Practice/Active** (Active dues required): I am engaged in the private practice of law in Guam, and I certify that, with respect to my private practice, I maintain or the firm with which I am associated maintains complete records of the handling, maintenance and disposition of all funds, securities and other properties of my clients or third persons which have at any time come into my possession; and that I maintain or the firm with which I am associated maintains on my behalf on a current basis all books and records necessary to establish my compliance with Rule 6 of the Supreme Court Rules for Discipline and Rule 1.15 of the Guam Rules of Professional Conduct covering the entire time from receipt of such property to its final disposition. **The name of each financial institution and each account number of every financial institution in which I maintain or the firm with which I am associated maintains funds received from or on behalf of clients or third persons are as follows (attach additional pages if necessary):**

\_\_\_\_\_

I further certify that I have read and understand Rule 6 of the Supreme Court Rules for Discipline and Rule 1.15 of the Guam Rules of Professional Conduct, and that I am required to preserve all such records for a period of five years after final disposition of any of my client's or third person's funds, securities or other properties, or after completing my duties as a fiduciary in any capacity.

- In-House Counsel** (Active dues required): I work as *in*-house counsel for a private company in Guam and handle legal matters solely for my employer. I certify that Rule 6 of the Supreme Court Rules for Discipline does not apply to me because my entire compensation from the practice of law during the calendar year next preceding the date of this Certificate was received in my capacity as an employee (in-house counsel) handling legal matters of a corporation. As such I have not in my possession any funds, securities or other properties of a client or third person.
- Inactive or Affiliate** (Inactive dues required): I do not engage in any practice of law in Guam, or do not engage in any practice of law for which a Guam license is required.
- Resignation** (No dues required): I hereby tender my resignation as a member of the Guam Bar Association effective \_\_\_\_\_.

**4. EDUCATIONAL HISTORY (optional):**

LAW SCHOOL \_\_\_\_\_ DATE OF GRADUATION \_\_\_\_\_

COLLEGE \_\_\_\_\_ DATE OF GRADUATION \_\_\_\_\_

**5. GBA LAWYER REFERRAL SERVICE (optional):**  Yes, I am interested. (See attached registration form.)

**6. GBA STANDING COMMITTEES (optional):** I am interested in serving on the following:

- Ethics       Legislative Liaison       Continuing Legal Education       Judicial Liaison  
 Library       Legal Aid & Lawyer Referral       Program & Public Relations

**7. 2009 MANDATORY CONTINUING LEGAL EDUCATION ("CLE") CERTIFICATION. (Please note this information is Mandatory-Initial One):**

\_\_\_\_\_ AS AN ACTIVE MEMBER OF THE GBA FOR 2009 I CERTIFY THAT I COMPLETED THE MANDATORY NUMBER OF CLE HOURS REQUIRED FOR 2009 PURSUANT TO THE CLE RULE.

\_\_\_\_\_ I CERTIFY THAT I WAS NOT AN ACTIVE MEMBER OF THE GUAM BAR FOR 2009; THAT I WAS EXEMPT; THAT I HAVE BEEN GRANTED A WAIVER; OR THAT I WAS GRANTED AN EXTENSION UNDER THE MANDATORY CLE RULES.

\_\_\_\_\_ AS AN ACTIVE MEMBER OF THE GBA FOR 2009 I AM/WAS REQUIRED TO COMPLETE THE MANDATORY NUMBER OF CLE HOURS REQUIRED FOR 2009 PURSUANT TO THE CLE RULE AND AM AWARE THAT I AM REQUIRED TO CERTIFY BY JANUARY 31, 2010 THAT I COMPLETED THE REQUIRED HOURS DURING 2009 (INCLUSIVE OF ALLOWED CARRYOVER HOURS FROM 2008).

**8. High School Mock Trial Donation:** Members who wish to contribute to the winning 2010 Mock Trial team to assist it in attending the National Finals may submit a voluntary contribution in addition to payment of their renewal of registration/dues by checking this line \_\_\_\_\_ and including the amount of their voluntary contribution with their dues payment. If you will be making a donation please identify the amount here: \$ \_\_\_\_\_. Thank you!

**I CERTIFY UNDER THE PENALTY OF PERJURY UNDER THE LAWS OF GUAM THAT ALL OF THE INFORMATION CONTAINED ON THIS STATEMENT IS CORRECT AND COMPLETE.**

SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

PRINT NAME: \_\_\_\_\_