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HON. F. PHILIP CARBULLIDO CHIEF JUSTICE HANNAH G. ARROYO CLERK OF COURT

January 21, 2022

To: All Guam Bar Association Members

Re: Notice and Opportunity to Comment on Proposed Amended Rule MR 6.1

Dear GBA Members:

The Supreme Court of Guam is considering adopting amendments to Local Rules of the Superior Court Guam, Miscellaneous Rule 6.1, Records Retention.

Written comments on the proposed amendments will be accepted through **Thursday**, **February 3, 2022**, and may be sent by email to Hannah G. Arroyo, Clerk of Court for the Supreme Court of Guam, at hgutierrezarroyo@guamcourts.gov, or to the following address:

Hannah G. Arroyo, Clerk of Court Supreme Court of Guam Suite 300, Guam Judicial Center 120 West O'Brien Drive Hagåtña, Guam 96910

Proposed Amended Rule Local Rules of the Superior Court of Guam, Miscellaneous Rule 6.1

MR 6.1. Records Retention.

(a) Supreme Court of Guam. Title 7 GCA § 7120 and Miscellaneous Rule 6.1 of the Local Rules of Court govern the retention periods and disposition of court records. The record retention and disposition periods provided in this section are effective ______, and apply to all court records in existence. Case records may be preserved on paper, microfilm, or as electronic documents. The paper records, microfilm or electronic documents are the official record of the court. The Clerk may certify a copy of the paper case records, a printed copy of microfilm or a printed electronic copy of an electronic document as a true and correct copy of the document in the record of the court.

Case Type	Retention Period (Original copy destroyed after expiration of period and/or microfilming/conversion to electronic document)	Disposition
Appellate Procedure	6 months after case is closed.	Microfilm or convert to electronic document; retain microfilm or electronic indefinitely unless otherwise ordered by the court.
Attorney Discipline	6 months after case is closed.	Microfilm or convert to electronic document; retain microfilm or electronic indefinitely unless otherwise ordered by the court.
Certified Question	6 months after case is closed.	Microfilm or convert to electronic document; retain microfilm or electronic indefinitely unless otherwise ordered by the court.
Civil Case	6 months after case is closed.	Microfilm or convert to electronic document; retain microfilm or electronic indefinitely unless otherwise ordered by the court.
Criminal Case	6 months after case is closed.	Microfilm or convert to electronic document; retain microfilm or electronic indefinitely unless otherwise ordered by the court.
Pro Hac Vice	6 months after case is closed.	Microfilm or convert to electronic document; retain microfilm or electronic indefinitely unless otherwise ordered by the court.
Promulgation Order	At least 6 months after order is entered.	Microfilm or convert to electronic document; retain microfilm or electronic indefinitely unless otherwise ordered by the court.

Writ of Habeas Corpus	6 months after case is closed.	Microfilm or convert to electronic document; retain microfilm or electronic indefinitely unless otherwise ordered by the court.
Writ of Mandamus	6 months after case is closed.	Microfilm or convert to electronic document; retain microfilm or electronic indefinitely unless otherwise ordered by the court.
Writ of Prohibition	6 months after case is closed.	Microfilm or convert to electronic document; retain microfilm or electronic indefinitely unless otherwise ordered by the court.
Writ of Certiorari	6 months after case is closed.	Microfilm or convert to electronic document; retain microfilm or electronic indefinitely unless otherwise ordered by the court.

(b) Superior Court of Guam. Title 7 GCA § 7120 and Miscellaneous Rule 6.1 of the Local Rules of Court govern the retention periods and disposition of court records. The record retention and disposition periods provided in this section are effective ______, and apply to all court records in existence. Case records may be preserved on paper, microfilm, or as electronic documents. The paper records, microfilm or electronic documents are the official record of the court. The Clerk may certify a copy of the paper case records, a printed copy of microfilm or a printed electronic copy of an electronic document as a true and correct copy of the document in the record of the court.

(1) For Records Prior to 2011.

Record Title	Retention Period	Disposition
	(Original copy destroyed after expiration of period and/or microfilming/conversion to electronic document)	
A. Case Files (Paper)		
Adoption (AT)	5 years	Microfilm or convert to electronic document; retain microfilm or electronic document indefinitely unless otherwise ordered by the court
Child Support (CS)	3 years	Convert complaint, final order or judgment to electronic document; retain electronic document indefinitely unless otherwise ordered by the court

Civil (CV)	5 years	Convert complaint, final order, or judgment to electronic document; retain electronic document indefinitely unless otherwise ordered by the court
Criminal Felony (CF)	7 years	Convert complaint, indictment, information, plea agreement, final order, and judgment to electronic document; retain electronic document indefinitely unless otherwise ordered by the court
Criminal Misdemeanor (CM)	7 years	Convert complaint, information, plea agreement, final order, and judgment to electronic document; retain electronic document indefinitely unless otherwise ordered by the court
Domestic (DM)		
1. Divorce	5 years	Convert complaint, settlement agreement, interlocutory judgment, and final decree, or final order to electronic document; retain electronic document indefinitely unless otherwise ordered by the court
2. All Others	5 years	Convert complaint, judgment, or final order to electronic document; retain electronic document indefinitely unless otherwise ordered by the court
Foreign Order (FO)	5 years	Convert complaint, foreign judgment, and final order; retain electronic document indefinitely unless otherwise ordered by the court
Juvenile Delinquency (JD)	5 years	Destroy pursuant to 19 GCA § 5124
Juvenile Proceedings (JP)	5 years	Convert to electronic document; retain electronic document indefinitely unless otherwise ordered by the court; Destroy pursuant to 19 GCA § 5124

Land Registration (LR)	7 years	Convert to microfilm or electronic document; retain microfilm or electronic document indefinitely unless otherwise ordered by the court
Probate (PR)	7 years	Convert to microfilm or electronic document; retain microfilm or electronic document indefinitely unless otherwise ordered by the court
Special Proceedings (SP)	5 years	Convert petition, final order, and judgment to electronic document; retain electronic document indefinitely unless otherwise ordered by the court
Protective Order (PO)	3 years	Convert to electronic document; retain electronic document indefinitely unless otherwise ordered by the court
Restitution (RS)	1 year	Convert collection order and final order to electronic document; retain electronic document indefinitely unless otherwise ordered by the court
Small Claims (SD)	2 years	Destroy
Traffic	2 years	Destroy
Marriages (SPM)	1 year	Destroy
B. Other Records	Retention Period (Original copy destroyed after expiration of period and/or microfilming/conversion to electronic document)	Disposition
Original Wills	Permanent	Permanent

Exhibits	Return to party submitting after time for appeal has passed	Return to party submitting after time for appeal has passed
Jury Records		
1. Juror Lists	4 years after the master jury wheel is emptied	Destroy
2. Grand Jury Voting Sheets	3 years	Destroy
3. Trial Questionnaires	3 years	Destroy
Court Recordings 1. Cassette Tapes 2. DVD Audio recordings	10 years	Destroy
Search Warrants	5 years	Destroy
Docket Sheets	2 years	Convert to electronic document; retain electronic document indefinitely unless otherwise ordered by the court
Cardex File	2 years	Convert to electronic document; retain electronic document indefinitely unless otherwise ordered by the court

(2) For Records from 2011 to Present.

Record Title	Retention Period (Original copy destroyed after expiration of period and/or microfilming/conversion to electronic document)	Disposition
A. Case Files (Paper)		
Adoption (AT)	5 years	Convert to electronic document; retain electronic document indefinitely unless otherwise ordered by the court

Child Support (CS)	3 years	Convert to electronic document; retain electronic document indefinitely unless otherwise ordered by the court
Civil (CV)	5 years	Convert to electronic document; retain electronic document indefinitely unless otherwise ordered by the court
Criminal Felony (CF)	7 years	Convert to electronic document; retain electronic document indefinitely unless otherwise ordered by the court
Criminal Misdemeanor (CM)	7 years	Convert to electronic document; retain electronic document indefinitely unless otherwise ordered by the court
Domestic (DM)	5 years	Convert to electronic document; retain electronic document indefinitely unless otherwise ordered by the court
Foreign Order (FO)	5 years	Convert to electronic document; retain electronic document indefinitely unless otherwise ordered by the court
Juvenile Delinquency (JD)	5 years	Convert to electronic document; retain electronic document indefinitely unless otherwise ordered by the court
Juvenile Proceedings (JP)	5 years	Convert to electronic document; retain electronic document indefinitely unless otherwise ordered by the court
Land Registration (LR)	7 years	Convert to electronic document; retain electronic document indefinitely unless otherwise ordered by the court
Probate (PR)	7 years	Convert to electronic document; retain electronic document indefinitely unless otherwise ordered by the court
Special Proceedings (SP)	5 years	Convert to electronic document; retain electronic document indefinitely unless otherwise ordered by the court
Protective Orders (PO)	5 years	Convert to electronic document; retain electronic document indefinitely unless otherwise ordered by the court

Restitution (RS)	1 year	Convert to electronic document; retain electronic document indefinitely unless etherwise ordered by the court
Small Claims (SD)	3 years	otherwise ordered by the courtConvert to electronic document; retainelectronic document indefinitely unlessotherwise ordered by the court
Traffic	3 years	Convert to electronic document; retain electronic document indefinitely unless otherwise ordered by the court
Marriages (SPM)	1 year	Destroy
B. Other Records	Retention Period (Original copy destroyed after expiration of period and/or microfilming/conversion to electronic document)	Disposition
Original Wills	Permanent	Permanent
Exhibits	Return to party submitting after time for appeal has passed unless electronically presented pursuant to EFR 4.12	Return to party submitting after time for appeal has passed unless electronically presented pursuant to EFR 4.12
Jury Records 1. Juror Lists	4 years after the master jury wheel is emptied	Destroy
2. Grand Jury Voting Sheets	3 years	Destroy
3. Trial Questionnaires	3 years	Destroy
Audio and electronic recordings of official court proceedings	10 years	Destroy
Search Warrants	5 years	Convert to electronic document; retain electronic document indefinitely unless otherwise ordered by the court
Arrest Warrants	5 years	Convert to electronic document; retain electronic document indefinitely unless otherwise ordered by the court

(a) Definition. For the purpose of this Rule finality means the 31st day after the entry of judgment or order from which an appeal may lie, receipt of the mandate from an appellate court affirming a judgment or order or the 31st day after the court has dismissed an action for failure to prosecute.

(b) Microfilming and Destruction. Records listed below shall be microfilmed at or after finality and the originals destroyed, or, in case of evidence, returned to the owners according to law, in accordance with the disposition table below.

RECORD	FILING	_
DESCRIPTION	METHOD	DISPOSITION
CLERK'S OFFICE		
Client Case Files	Numerically	
1. Civil cases		Destroy originals
		10 years after finality.
2. Small Claims		Destroy 5 yrs. after filing on microfilm.
<u></u>		Records expunged when client becomes 18
		yrs old; 10 yrs. hence, destroy in accord with
		<u>19 GCA 5124.</u>
4. Domestic		5 5 5 1
		that cases with minor children not final until-
		10 years after youngest becomes 18.
5. All others		Destroy 10 yrs. after finality.
Client Cardex File	Alphabetically	Permanent
Docket Sheets	Numerically	Perm., transfer to storage 1 yr. after finality – & after micro-filming.
Wills	Numerically	Permanent
Permanent Exhibits	By Subject	
		Transfer to proper law enforcement dept.
		after final judgment.
<u> </u>		Return to submitting party after final
		judgment.
Decision Files	Chronological	Permanent
RECORD	FILING	-
DESCRIPTION	METHOD	DISPOSITION
Fee Collection	Chronological	Transfer to Reports Fin. Mgmt. Div. after 1– year.
Jury records,	Numerical/	Destroy after incl. 5 yrs. as per 7 GCA 22121
diskettes	chronological	
	5	

Crim. Appeal transcripts transcripts	Numerical	— Destroy 19 yrs. After finality.
Correspondence; subj. files re: admin. & elerk's office w/no historical or legal value.	Subject	<u>— Destroy after 3 years.</u>
CALENDAR UNIT Master calendar diary	Subject	— Destroy after 3 years.
Statistical reports	Subject/ chronological	Destroy after 3 years.
Computer aids/manuals	Subject	Destroy when no longer useful.
COURT REPORTER'S UNIT Tapes of court hearings & proceedings	Subject	Permanent; transfer to storage after 1 yr; destroy after 10 yrs.
Stenographic	Subject	Per,.; trans. notes to storage after 1 year
Grand Jury minute sheets	Numerical	Perm., microfilm
Correspondence; subj. files re: admin. & oper. of court reporting with no historical or legal value.	Subject/ chronological	Destroy after 3 years.

SOURCE: Guam Bar Committee.

COMMENT: The Superior Court has been faced with questions of storage and disposal of records without any law or rule for guidance. This Rule provides necessary guidance, in accordance with applicable law, for the storage and disposal of all the papers accumulated by the court.

CROSS-REFERENCES: Public Law 22-124 enacted 7 GCA '7120, which provided the statutory guidance which was lacking when this Rule was adopted. Section 7120(d) confirmed and continued this Rule under it may be changed in due course.